FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	Check this box if no longer subject to
ì	Section 16. Form 4 or Form 5
J	obligations may continue. See
	Instruction 1(b).
	moduction ±(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OIVID AFFROVAL									
OMB Number: 3235-02									
Estimated average burden									
hours per response:	0.5								

Name and Address of Reporting Person* <u>Carrubba Vincent</u>					2. Issuer Name and Ticker or Trading Symbol LIQUIDMETAL TECHNOLOGIES INC [ LQMT ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
													X Directo	r		10% Ow	ner	
(Loot)		(Final)	(Middle)										Officer below)	(give title		Other (s below)	pecify	
(Last) (First) (Middle)  C/O LIQUIDMETAL TECHNOLOGIES, INC.  20321 VALENCIA CIRCLE					3. Date of Earliest Transaction (Month/Day/Year) 11/15/2018								,			,		
				4	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	ODECE		00.000									- 1	,	led by One	e Repo	rting Person		
LAKE FOREST CA 92630													Form filed by More than One Reporting Person				ing	
(City)		(State)	(Zip)															
		Та	ble I - Non-I	Derivati	ve Se	ecuritie	s Acc	quired,	Dis	posed o	f, or Be	neficial	y Owned					
Date				. Transaction Pate Month/Day/	Execution Da		Date,	e, Transaction Dispos Code (Instr.		4. Securi Disposed	rities Acquired (A) c ed Of (D) (Instr. 3, 4		Beneficia Owned F	s illy ollowing	Form	: Direct I Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) c	r Price		Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
			Table II - De	erivative									Owned			'		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transacti	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)		Date Exercisab		xpiration ate		Amount or Number of Shares		(Instr. 4)	oii(a)			
Stock Option	\$0.14	11/15/2018		A		240,000		(1)	1	1/15/2028	Common Stock	240,000	\$0.14	240,0	00	D		

## **Explanation of Responses:**

1. The shares covered by the Option shall vest 33.33% on the first year anniversary date from the date of grant. Thereafter, the shares covered by the Option shall vest monthly over 24 months with the Option being 100% vested on the third anniversary of the date of grant.

> /s/ Curt P. Creely, Attorney-in-Fact for Vincent Carrubba

11/19/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.