SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	OMB APPROVAL				
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:	3235-0287			
	Estimated average burden				
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response:	0.5			

Section obligat	this box if no k n 16. Form 4 or ions may conti tion 1(b).			ENT OF CHAINGES IN DEINEFICIAL OWNERSHIP													3235-0287 1 0.5	
1. Name and Address of Reporting Person* Bromage Bruce (Last) (First) (Middle) 13740 HARLEIGH COURT					2. Issuer Name and Ticker or Trading Symbol LIQUIDMETAL TECHNOLOGIES INC [LQMT] 3. Date of Earliest Transaction (Month/Day/Year) 02/05/2014								elationship of Reporting Person(s) to Issuer eck all applicable) Director 10% Owner X Officer (give title Other (specify below) below) EVP-Business Development					
(Street) SARAT((City)		CA State)	95070 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indi X	dividual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person					
		1	able I - Non	-Deriva	ative S	ecuritie	s Ac	quired,	Dis	posed o	of, or	Bene	ficially	Owned				
Date				2. Transad Date (Month/Da	Execution Date,		3. Transac Code (I 8) Code		4. Securities Acquired Disposed Of (D) (Instr Amount (A) or (D)		(Instr. (A) or		4 and 5) Securities Beneficially Owned Follo Reported Transaction		/ Form: Dir (D) or Ind lowing (I) (Instr. 4		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Table II - I (curities IIs, warr								wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	xercise (Month/Day/Year) if any e of (Month/Day/Year) (Month/Day/Year		Code	action (Instr.	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amo Securities Under Derivative Secur (Instr. 3 and 4)		nderlying ecurity 4)	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followin Reporte Transac	ve es ally ng d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownershi (Instr. 4)
1		1										A	mount or	1	(Instr. 4)			

Stock

\$0.29

Explanation of Responses:

1. The shares reported in column 7 will vest over a 5-year period on the following schedule: the shares vest 20% on the first anniversary of the date of grant; with the remaining 80% vesting at 1.666% per consecutive calendar month thereafter through and including the fifth anniversary of the date of grant.

Date Exercisable

(1)

(D)

Expiration Date

02/05/2024

Title

Common

Stock

Remarks:

Options to Purchase

Common

/s/ Bruce Bromage

04/02/2014 Date

1.704.500

D

** Signature of Reporting Person

Number of Shares

1,704,500

\$<mark>0</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/05/2014

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Α

(A)

1,704,500

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.