| SEC For  | m 4<br>FORM   | 4  | UNITED   | ) ST/              | ATE  | S S   |          |                              | ES AND   |                        | NGE C  | COMMIS  | SSION  |  |                                      |  |                                       |
|--|---|--|--|--------------------|--|---|----------|------------------------------|--|------------------------|--|---|--|--|--------------------------------------|--|---------------------------------------|
|  |   | onger subject to                           | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |                    |  |   |          |                              |  |                        |  |   |  | OMB APPROVAL OMB Number: 3235-0287   |                                      |  |                                       |
| Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b).           |   |  |  | irsuant<br>or Sect | to Sectio<br>ion 30(h)   | 1934  |          | hours p                      |  | erage burden<br>oonse: | 0.5  |   |  |  |                                      |  |                                       |
| 1. Name and Address of Reporting Person <sup>*</sup><br>Chung Tony                           |   |  |  |                    | L  | 2. Issuer Name and Ticker or Trading Symbol LIQUIDMETAL TECHNOLOGIES INC [ LQMT ] |          |                              |  |                        |  |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner<br>Officer (give title Other (specify |  |                                      |  | /ner                                  |
| (Last)<br>30452 E  | (F<br>SPERANZA  | (Middle)                                   |  |                    | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/01/2009 |   |          |                              |  |                        |  | A below) below)<br>Chief Financial Officer  |  |  |                                      |  |                                       |
| (Street)<br>RANCHO<br>SANTA CA<br>MARGARITA  |   |  | 92688  | 4.                 | 4. If Amendment, Date of C                                     |   |          |                              | f Original Filed (Month/Day/Year)                              |                        |  | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |                                      | 1  |                                       |
| (City)   | (S  | (Zip)                                      |  |                    | ouritio  | <u> </u>  | auirod D | icnocod                      | of or Po   | noficially             | , Owned  |   |  |  |                                      |  |                                       |
| Table I - Non-Deriv           1. Title of Security (Instr. 3)         2. Trans Date (Month/) |   |  |  | nsactio            | on<br>Year)  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year                        |          | 3.<br>Transacti<br>Code (Ins | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4     |                        | ed (A) or  | 5. Amour<br>Securities<br>Beneficia<br>Owned Fe   | Form<br>Iy (D) o   |  | n: Direct<br>or Indirect<br>ostr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                      |                                       |
|  |   |  |  |                    |  |   |          |                              | Code V   | Amount                 | (A) o<br>(D)   | r Price   | Reported<br>Transacti<br>(Instr. 3 a   | on(s)  |                                      |  | (Instr. 4)                            |
|  |   |  | Table II - I<br>(  |                    |  |   |          |                              | uired, Dis<br>s, options                                       | •                      |  | -   | Owned  |  |                                      |  |                                       |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/Y                   | ite, T             | 4.<br>Transactior<br>Code (Instr.<br>8)                        |   |          |                              | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                        | 7. Title an<br>of Securit<br>Underlyin<br>Derivative<br>(Instr. 3 an | g<br>Security   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | ily                                  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  | c                  | Code   | v   | (A)      | (D)                          | Date<br>Exercisable  | Expiration<br>Date     | Title  | Amount<br>or<br>Number<br>of Shares   |  | (Instr. 4)   | 511(5)                               |  |                                       |
| Series A-1<br>Preferred<br>Stock   | \$0.1   | 11/01/2009                                 |  |                    | Р  |   | 10,000   |                              | (1)  | (1)                    | Common<br>Stock  | 500,000   | \$5  | 10,000   |                                      | D  |                                       |
| Warrants   |   |  |  |                    |  |   |          |                              |  |                        | Comments   |   |  |  |                                      |  |                                       |

2. Options were granted pursuant to the 2002 Equity Incentive Plan and vest in five equal annual installments of 20% beginning one year from July 12, 2010.

\$<mark>0.5</mark>

\$<mark>0.13</mark>

\*\* Signature of Reporting Person

Common Stock

Common Stock

/s/ Tony Chung

10/30/2014

07/11/2020

09/07/2010 Date

250,000

450,000

D

D

250,000

250,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

11/01/2009

07/12/2010

Preferred Stock. The Series A Preferred Stock has no expiration date.

(right to buy)

Options

\$<mark>0.5</mark>

\$0.13

Explanation of Responses:

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

P

A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

250,000

250,000

11/01/2009

(2)

1. Each share of Series A Preferred Stock is convertible into shares of common stock at the time and under the circumstances described in the Certificate of Designations, Preferences and Rights for Series A