SEC Form 4
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FORM 4

Check this box if no longer subject to

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response.	0.5						

🔄 obligat	n 16. Form 4 or tions may contir ction 1(b).			Filed				a) of the Secu Investment (				34			ated ave per resp	rage burden oonse:	0.5
1. Name and Address of Reporting Person <sup>*</sup> Chung Tony (Last) (First) (Middle) 30452 ESPERANZA					2. Issuer Name and Ticker or Trading Symbol LIQUIDMETAL TECHNOLOGIES INC [ LQMT ] 3. Date of Earliest Transaction (Month/Day/Year) 11/01/2009							Relationship of Reporting Person(s) to Issuer leck all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer					
(Street) RANCH SANTA MARGA (City)	CARITA	CA State)	92688 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year) 09/07/2010					Line)	Individual or Joint/Group Filing (Check Applicable le) X Form filed by One Reporting Person Form filed by More than One Reporting Person						
		٦	able I - Non-	Deriva	tive S	Securities	s Ac	quired, D	isposed	l of, or	Ben	eficially (	Dwned				
Date			2. Transac Date (Month/Da	Execution Date,			Code (Ins	on Dispo str.	4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 Amount (A) or (D) P			Securities F Beneficially (I		Form:	Direct I Indirect I tr. 4)	7. Nature of ndirect Beneficial Ownership Instr. 4)	
			Table II - D (e					uired, Dis , options					vned	-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Secu Deriv	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiratio Date	n Title	1	Amount or Number of Shares		Transaction( (Instr. 4)			
Series A-1 Preferred Stock	\$0.1	11/01/2009		Р		10,000		(1)	(1)	Com Sto		500,000	\$5	10,0	00	D	
Warrants (right to buy)	<b>\$0.49</b> <sup>(4)</sup>	11/01/2009		Р		255,103 <sup>(3)</sup>		11/01/2009	10/30/20	14 Com Sto		255,103 <sup>(3)</sup>	\$0.49 <sup>(4)</sup>	255,10	)3 <sup>(3)</sup>	D	
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## Explanation of Responses:

\$0.13

Options

1. Each share of Series A Preferred Stock is convertible into shares of common stock at the time and under the circumstances described in the Certificate of Designations, Preferences and Rights for Series A Preferred Stock. The Series A Preferred Stock has no expiration date.

(2)

2. Options were granted pursuant to the 2002 Equity Incentive Plan and vest in five equal annual installments of 20% beginning one year from July 12, 2010.

3. Original Form 4 filed on 9/7/10 included a miscalculation in Table 5, Table 7 and Table 9, which should reflect Reporting Person owning 255,103 shares.

4. Prior Form 4 filed on 9/7/10, was mistakenly filed using incorrect price. The correct price is \$0.49.

5. Prior Form 4 filed on 9/7/10, was mistakenly filed using incorrect price. The correct price is \$0.124

## /s/ Tony Chung

Commo Stock

07/11/2020

\*\* Signature of Reporting Person

09/22/2010

Date

450,000

D

**\$0.124**<sup>(5)</sup>

250,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

07/12/2010

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

250,000